



**Alan S. Kaplinsky**  
*Partner*

[KAPLINSKY@BALLARDSPAHR.COM](mailto:KAPLINSKY@BALLARDSPAHR.COM)

TEL 646.346.8046 TEL 215.864.8544

FAX 212.223.1942 FAX 215.864.8999

NEW YORK PHILADELPHIA

- PRACTICE AREAS

Business and Finance, Consumer Financial Services, Mortgage Banking, Marketplace Lending

#### - BACKGROUND & EXPERIENCE

Alan S. Kaplinsky leads the firm's Consumer Financial Services Group, which has more than 115 lawyers. Mr. Kaplinsky devotes his practice exclusively to counseling financial institutions on bank regulatory and transactional matters, particularly consumer financial services law, and defending financial institutions that have been sued by consumers in individual and class action lawsuits and by government enforcement agencies. Visit Mr. Kaplinsky's profile in Wikipedia.

Mr. Kaplinsky is heavily involved in counseling and representing clients in a wide variety of matters (regulatory, supervisory and enforcement) involving the Consumer Financial Protection Bureau. He was instrumental in launching a blog, CFPBMonitor.com, focused on the Consumer Financial Protection Bureau.

Mr. Kaplinsky pioneered the use of pre-dispute arbitration provisions in consumer contracts, has counseled numerous consumer financial services companies on this subject, and has defended in court numerous companies that have sought to enforce consumer arbitration agreements.

Mr. Kaplinsky is included in the 2006 through 2015 editions of *Chambers USA: America's Leading Lawyers for Business* in the area of financial services regulation. *Chambers* calls him "a guru of consumer financial services on both the regulatory and litigation sides [who has] earned his well-deserved national reputation when successfully defending the very first class actions brought against financial institutions." Clients are highly complimentary of his abilities, declaring him to be "one of if not the top consumer expert in the United States" and "the dean of consumer regulatory compliance."

#### - PROFESSIONAL HIGHLIGHTS

##### **Professional Activities**

American Bar Association, past Chair, Committee on Consumer Financial Services

Adviser to the American Law Institute (ALI) *Restatement of the Law Third, Consumer Contracts*

University of Pennsylvania Law School and Temple University James E. Beasley School of Law, past Adjunct Professor

##### **Recognition & Accomplishments**

Lifetime Achievement Award, American College of Consumer Financial Services

Lawyers, 2016

Named a Litigation Trailblazer by *The National Law Journal*, 2015

First President, American College of Consumer Financial Services Lawyers

*Chambers USA: America's Leading Lawyers for Business*, leading lawyer in banking and finance: financial services regulation: consumer finance (compliance) (national ranking band one); and banking and finance: mainly regulatory (Pennsylvania band one), 2006-2016

*The Best Lawyers in America*, financial services regulation law, banking and finance litigation, and corporate law, 2007-2017

Named *Best Lawyers'* 2012 Philadelphia Litigation, Banking & Finance Lawyer of the Year

*The Legal 500 United States*, listed in 2011-2015 editions, Financial Services: Regulatory

American Law Institute, elected member since May 2006

### **Publications**

Member, Financial Services Advisors, *Consumer Financial Services Law Report*

Co-author, "FFIEC Provides Concrete Guidance on Setting Up Information Security Programs," Ballard Spahr alert, September 14, 2016

Co-author, "Fact Sheet Clarifies AML/BSA Enforcement Priorities," Ballard Spahr alert, September 2, 2016

### **Speaking Engagements**

Co-Chair for 21 years of the Practising Law Institute's Annual Consumer Financial Services Institute

Frequent presenter to numerous organizations on banking and consumer financial services law and quoted frequently in national publications, including the *New York Times*, *Wall Street Journal*, *Washington Post* and *American Banker*

### **Board Memberships**

Bloomberg BNA Banking Report Advisory Board

## **- EDUCATION**

Boston College Law School (J.D. 1970, *cum laude*)

Editor, *Boston College Industrial and Commercial Law Review*; Member, Order of the Coif

University of Pennsylvania, Wharton School of Business (B.S. 1967, *cum laude*)

**- ADMISSIONS**

New York

Pennsylvania